

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROGRAM AMENDMENTS
Traffic Congestion Relief Applications
Action Item

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CTC Meeting: December 12-13, 2001

Agenda Item: 2.1c.(3)
PTC Item: 1b.

Original Signed By

ROBERT L. GARCIA
Chief Financial Officer
December 1, 2001

TRAFFIC CONGESTION RELIEF (TCR) PROGRAM
APPLICATION APPROVALS

RESOLUTION TA-01-18

At the December 12-13, 2001, California Transportation Commission (Commission) meeting, the Commission will be asked to approve the attached Traffic Congestion Relief Program application approval for the North Coast Railroad Authority (NCRA) for \$250,000. The NCRA is also requesting an advance of \$250,000.

The Department of Transportation (Department) recommends approval of the \$250,000 requested. Of the amount requested for advance, the Department recommends approval of \$60,270.

- Project #32.1 - \$250,000, for North Coast Railroad; Subparagraph (a)(3) defray administrative costs.
Applicant Agency: North Coast Railroad Authority (NCRA)
Implementing Agency: NCRA
Advance Requested: \$250,000

Regional North Coast Railroad; Sub-paragraph (a)(3); defray administrative costs

(\$ x 1,000)

Estimated Project Cost: \$250 *TCRP Funds covered by the application:* **\$250**

Total TCRP Funds Available: \$500 *Phase(s) covered in application:* N/A

Lead Agency: North Coast Railroad Authority *Implementing Agency:* Same

TCRP Funds Allocation requested concurrently with application: **\$250** *for Phase(s):* N/A

Advance requested: **\$250**

Project Summary

The overall project is to repair and upgrade the track to meet Class 2 (freight) standards.

This application for \$250,000 is to defray administrative costs for NCRA pursuant to Sub-section 14556.50 (a)(3).

The \$250,000 programmed and allocated for NCRA should help defray administrative costs from January 1, 2002 to June 30, 2002. An application for \$250,000 was approved in July 2001, to defray administrative costs from July 2001 to December 31, 2001.

Cost and Schedule (\$ x 1,000)

<i>Phase</i>	<i>Scope</i>	<i>Start</i>	<i>End</i>	<i>Cost</i>
1	Defray Administrative Costs	1/1/2002	6/30/2002	\$250
<i>Total:</i>				\$250

Funding Plan (\$ x 1,000)

<i>Source</i>	<i>Type</i>	<i>Phase 1</i>	<i>Phase 2</i>	<i>Phase 3</i>	<i>Phase 4</i>	<i>Total</i>
TCRP	State	Committed	\$250			\$250
		Proposed				
	<i>Totals :</i>	Committed	\$250			\$250
		Proposed				
		<i>Total :</i>	\$250			\$250

Discussion/Issues

No Issues. Recommend Approval.

NCRA has requested that the remaining \$250,000 authorized under Sub-paragraph 14556.5 (a)(3) be approved, with a concurrent allocation and advance of \$250,000.

Recommended Resolution Language: Allocation contingent upon executed agreement between NCRA and County of Sonoma for accounting services.

Recommended Resolution Language: Only \$60,270 of the advance requested be approved. The advance of \$60,270 will provide administrative funds for January 2002, as well as start-up costs and one month service fee for accounting services with Sonoma County, with NCRA submitting invoices for actual costs thereafter, until the \$250,000 has been exhausted.

Recommended Resolution Language: No additional funds be allocated to the NCRA until such time as NCRA can demonstrate how they plan to fund administrative operations beyond June 30, 2002.

CALIFORNIA TRANSPORTATION COMMISSION
Approval of Traffic Congestion Relief Program
Project Application

RESOLUTION TA-01-18

- 1.1 WHEREAS the Traffic Congestion Relief Act of 2000 (herein after referred to as “statute”), which was established by Chapters 91 (AB 2928) and 656 (SB 1662) of the Statutes of 2000, establishes the Traffic Congestion Relief Program, providing \$5.39 billion for projects throughout the State of California to reduce traffic congestion, provide for safe and efficient movement of goods, and provide system connectivity; and
- 1.2 WHEREAS in accordance with Government Code Section 14556.11 the California Transportation Commission (Commission) has adopted guidelines, in consultation with the Department of Transportation (Department) and regional agencies, to implement the Traffic Congestion Relief Program (TCRP); and
- 1.3 WHEREAS the statute and guidelines require applicants to specify full and complete project applications, including scope, cost and schedule, financial plans and funding sources; and
- 1.4 WHEREAS the Commission, with assistance from the Department, is required by statute to review and approve applications for TCRP projects that meet the requirements in statute and guidelines; and
- 1.5 WHEREAS Government Code Section 14556.50 provides Traffic Congestion Relief (TCR) funds to be allocated to North Coast Railroad Authority (NCRA), as directed by the Commission, to defray the administrative costs of the NCRA; and
- 1.6 WHEREAS under Government Code Section 14556.50, Sub-paragraph (a)(1) provided \$250,000 to cover administrative costs for the first half of Fiscal Year (FY) 2000-2001, and Sub-paragraph (a)(2) provided \$250,000 to cover administrative costs for the second half of FY 2000-2001; and
- 1.7 WHEREAS under Government Code Section 14556.50, Sub-paragraph (a)(3) provides \$500,000 to cover administrative costs for FY 2001-2002, if the Commission determines that additional funding is needed by the NCRA for administrative costs; and
- 1.8 WHEREAS no additional funds are provided in the TCRP to cover administrative costs for the NCRA beyond the \$1,000,000 provided under Government Code Section 14556.50, Sub-paragraphs (a)(1), (a)(2), and (a)(3); and
- 1.9 WHEREAS the NCRA Fiscal Year 2001-02 administrative Budget with projected spending has been deemed acceptable; and
- 1.10 WHEREAS on July 11, 2001, the Commission approved Resolution TA-01-12 authorizing \$250,000, of the available \$500,000 under Government Code Section 14556.50 Sub-paragraph (a)(3), to cover administrative costs from July 2001 to December 31, 2001; and

- 1.11 WHEREAS the Commission, with assistance from the Department, has reviewed the submitted TCRP project application for NCRA administrative costs for the remainder of FY 2001-2002, and subsequent clarifications and revisions, and determined it complies with the statute and guidelines; and
- 1.12 WHEREAS the Commission has a fiscal responsibility in the administration of Traffic Congestion Relief Funds and will exercise due caution in the allocation of these and other TCR funds authorized in the statute; and
- 1.13 WHEREAS it is the intent of the Commission to assist NCRA in the re-establishment of the rail line, consistent with sound fiscal management of public funds.
- 2.1 NOW THEREFORE BE IT RESOLVED the Commission does hereby approve the following TCRP project application for \$250,000 to cover NCRA administrative costs from January 1, 2002 to June 30, 2002, with subsequent clarifications and revisions:
 - Project #32.1 - \$250,000, Phase 1, Repair & Upgrade Track of NCRA to meet Class II freight standards in Napa, Sonoma, Marin, Mendocino, & Humboldt Counties;
Defray administrative costs for January 1, 2002 to June 30, 2002. (Subparagraph (a)(3))
Lead Agency: North Coast Railroad Authority
Implementing Agency: Same
Advance Requested: ~~\$250,000~~ \$60,270
- 2.2 BE IT FURTHER RESOLVED that the allocation of \$250,000 for administrative costs for the remainder of FY 2001-2002, is approved subject to an executed agreement between NCRA and the County of Sonoma for the County of Sonoma to handle accounting services for the NCRA; and
- 2.3 BE IT FURTHER RESOLVED that the Commission approves a reduced Advance amount of \$60,270 to cover the estimated NCRA administrative costs for January 2002, as well as start-up costs and one-month service fee for accounting services through the County of Sonoma; and
- 2.4 BE IT FURTHER RESOLVED that of the \$60,000,000 authorized under Government Code Section 14556.40 (32) for the NCRA, \$54,250,000 has been programmed and \$17,750,000 has been allocated for activities identified in Government Code Section 14556.50 Sub-paragraphs (a) through (l), including \$250,000 authorized under this resolution; and
- 2.5 BE IT FURTHER RESOLVED that no additional TCR funds shall be allocated to the NCRA, beyond the \$17,750,000 allocated to date, until such time as NCRA demonstrates to the Commission how it will fund administrative operations beyond June 30, 2002; and
- 2.6 BE IT FURTHER RESOLVED that this TCRP project application approval by the Commission reserves the State funding for the project as specified by the statute, and allows the applicant agency to incur costs in accordance with the approved project application, statute and guidelines.